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ROUTING AND RECORD SHEET

SUBJECT: (Optional)

Use of the Polygraph in SCI Access
(Proposed Amendment and Annex C to DCID 1/14)

FROM:

Acting Chairman, Investigative
Standards Working Group

EXTENSION

NO.

SECOM-D-372

DATE

27 October 1980

TO: (Officer designation, room number, and building)

DATE

RECEIVED

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OFFICER'S
INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

1.

ES/SECOM

27 Oct

R.S.

2.

3.

Chairman, SECOM

OCT 2 1980

OCT 30 1980

K

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DD / CA

30 Oct

R.S.

8.

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15.

will talk about
this at the Pre SECOM
meeting Tuesday.

While The Investigative
Standards W.G. recommends
here that poly be required
as part of DCID 1/14, I
anticipate their SECOM
Principals will have
something to say.

WE WILL WALK
THE SECOM THROUGH
THIS AT OUR MEETING
ON 13 NOV.

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DIRECTOR OF CENTRAL INTELLIGENCE

Security Committee

INVESTIGATIVE STANDARDS WORKING GROUP

SECOM-D-372

27 October 1980

MEMORANDUM FOR: Chairman, Security Committee

FROM:

[Redacted]
Acting Chairman, Investigative
Standards Working Group

STAT

SUBJECT:

Use of the Polygraph in SCI Access
(Proposed Amendment and Annex C to DCID 1/14)

1. Earlier this year, the Security Committee had asked the Investigative Standards Working Group to consider establishing Intelligence Community-wide criteria for use of the polygraph in screening applicants for SCI access (SECOM-D-035, dated 16 January 1980). On 16 October 1980, the Working Group reached full agreement on a proposal to include the polygraph examination as an additional requirement both for screening applicants and for periodic reinvestigations of personnel with SCI access. There was no dissent to the proposal. The SAFSS representative was the only member not present.

2. The Investigative Standards Working Group therefore recommends that the Security Committee (a) approve the enclosed amendment and its accompanying annex to DCID 1/14, and (b) transmit the Committee's endorsement to the NFIB and the DCI for their approval and promulgation to Intelligence Community organizations. The Working Group strongly believes that polygraph interviews will provide Intelligence Community agencies/departments with an important measure of security assurance.

3. The proposed amendment to DCID 1/14 also supplements and delineates a major recommendation offered earlier by the Working Group as a result of its Personnel Security Survey (SECOM-D-235, 5 June 1980). Accordingly, the Working Group further recommends that the Security Committee address both proposals at the same time.

[Redacted] STAT

Attachment

Revised 10/28/80

PROPOSED AMENDMENT TO DCID 1/14

1. Add Paragraph 11n: A personal interview utilizing the polygraph shall be given to all nominees for access to SCI (see Annex C).

2. Paragraph 14: Change second sentence to read:

In all cases, the reinvestigation shall include, as a minimum, appropriate National Agency Checks, local agency checks (including overseas checks when appropriate), credit checks, developed sources (pursuant to SECOM-D-235), and an interview with the individual utilizing the polygraph (see Annex C).

REVISED 10/28/80

USE OF THE POLYGRAPH IN SCREENING FOR SCI ACCESS

PROPOSED ANNEX C TO DCID 1/14

PURPOSE

1. Pursuant to the provisions of DCID 1/14, this Annex establishes minimum standards for use of the polygraph in screening individuals for initial or continued access to SCI. As the individual is one of the best sources of information regarding his own background, the polygraph examination clearly represents a productive adjunct to the security clearance process. Complete, thorough, and detailed information cannot usually be obtained from a background investigation alone. Information derived from a polygraph interview provides important data to assist in proper adjudication for SCI access.

POLYGRAPH STANDARDS AND POLICIES

2. Each agency/department shall develop and apply certain standards and policies governing its own use of polygraph testing. These standards and policies relate to eligibility and physical fitness for testing, instrumentation utilized, training and certification of examiners, sites and circumstances of testing, and supervision of polygraph results.

SCOPE OF POLYGRAPH TESTING

3. The polygraph examination shall be limited to topics essential to a security determination. For the purpose of this Annex, every polygraph examination should include, as a minimum, the following topics:

- a. Subject identity.
- b. Approaches to engage in espionage or sabotage.
- c. Clandestine or unreported meetings with representatives of a foreign government.
- d. Involvement in espionage or sabotage on behalf of a foreign government.
- e. Involvement in terrorism or subversion.
- f. Approaches to divulge classified information to unauthorized persons.

g. Compromise or delivery of classified information to unauthorized persons.

h. Knowledge of unreported incidents of compromise of classified information.

i. Maintenance or storage of classified information outside of authorized areas.

j. Removal of classified information without authorization.

In addition to the topics noted above, any serious security allegation, as defined by the agency/department concerned, should also be included as part of the polygraph examination. These allegations must be clearly defined and explained to the examinee.

USE OF POLYGRAPH RESULTS

4. The polygraph examiner shall not be involved in the clearance or access decision. Polygraph results will be submitted to an SCI access determination authority within each agency/department. That determination authority shall make the final access or clearance decision based upon information derived from the polygraph examination, the background investigation and other investigative results.

EFFECTIVE DATE

5. Interviews utilizing the polygraph will be required under the provisions of this directive beginning . Repolygraphing will be included as part of periodic investigations beginning .